



3-9-01

sector
✓ #

Attorney Docket No. BOS/3

#3

Applicants : Peter Rice et al.
Application No. : 09/699,224
Filed : October 27, 2000
Confirmation No. : Not Yet Assigned
For : **PEPTIDE MIMICS OF CONSERVED
GONOCOCCAL EPITOPES AND METHODS AND
COMPOSITIONS USING THEM**
Group Art Unit : 1645
Examiner : Not Yet Assigned

"Express Mail" mailing label number EK708046152US

Date of Deposit March 8, 2001

**I hereby certify that this paper/fee is being deposited with the
United States Postal Service "EXPRESS MAIL POST OFFICE TO
ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and
is addressed to the Commissioner for Patents, Washington, D.C. 20231.**



Claire J. Saintil

Encl:

Response to Notice to File Missing Parts of Nonprovisional Application
Copy of the Notice to File Missing Parts of Nonprovisional Application
Executed Declaration and Power of Attorney
Paper Copy of Sequence Listing



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/699,224	10/27/2000	Peter A. Rice	BOS/3

1473
FISH & NEAVE
1251 AVENUE OF THE AMERICAS
50TH FLOOR
NEW YORK, NY 10020

FORMALITIES LETTER



OC000000005661645

Date Mailed: 01/08/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):

- This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-1123
- For CRF Submission Help, call (703) 308-4212
- PatentIn Software Program Support
- - For Technical Assistance, call (703) 287-0200
- - To Purchase PatentIn Software, call (703) 306-2600

RECEIVED

JAN 15 2001

FISH & NEAVE - PATENT DEPT.
REFERRED TO _____
NOTED BY _____

- The balance due by applicant is \$ 65.

03/12/2001 HRAJONG1 00000037 061075 09699224

01 FC:205 65.00 CH

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



EXPRESS MAIL
EK708046152US

BOS/3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Peter Rice et al.
Application No. : 09/699,224
Filed : October 27, 2000
Confirmation No. : Not Yet Assigned
For : PEPTIDE MIMICS OF CONSERVED GONOCOCCAL
EPITOPES AND METHODS AND COMPOSITIONS
USING THEM
Group Art Unit : 1645
Examiner : Not Yet Assigned

New York, New York
March 8, 2001

Hon. Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith: [X] Response to Notice to File Missing Parts of
Nonprovisional Application; [X] Copy of the Notice to File Missing Parts of
Nonprovisional Application; [X] Executed Declaration and Power of Attorney; [X] Paper
Copy of Sequence Listing; to be filed in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

[X] A fee for additional claims is not required.

The additional fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAL CLAIMS	- 20	* =	X \$9 =	\$
INDEPENDENT CLAIMS	- 3	** =	X \$40 =	\$
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM			+ \$135 =	\$

* If less than 20, insert 20.

** If less than 3, insert 3.

TOTAL \$

☐ A check in the amount of \$___ in payment of the filing fee is transmitted herewith.

☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

☒ Please charge \$ 65.00 to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

☐ The following extension is applicable to the Response filed herewith; ☐ \$55.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); ☐ \$195.00 extension fee for response within second month pursuant to 37 C.F.R.

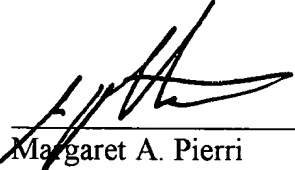
§ 1.136(a); ☐ \$445.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); ☐ \$695.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136 (a); ☐ \$945.00 extension fee for response within fifth month pursuant to 37 C.F.R. 1.136(a).

☐ A check in the amount of ☐ \$55.00; ☐ \$195.00; ☐ \$445.00; ☐ \$695.00; ☐ \$945.00; in payment of the extension fee is transmitted herewith.

☒ The Commissioner is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

☐ Please charge the ☐ \$55.00; ☐ \$195.00; ☐ \$445.00; ☐ \$695.00; ☐ \$945.00; extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,



Margaret A. Pierri
Registration No. 30,709
Attorney for Applicants

S. Craig Rochester
Registration No. 43,052
Patent Agent for Applicants

c/o FISH & NEAVE (Customer No. 1473)
1251 Avenue of the Americas
New York, New York 10020-1104
Tel.: (212) 596-9000